# 1 Article

# Machine Learning to Identify Flexibility Signatures of Class A GPCR Inhibition

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20 Abstract: We show that machine learning can pinpoint features distinguishing inactive from 21 active states in proteins, in particular identifying key ligand binding site flexibility transitions in 22 GPCRs that are triggered by biologically active ligands. Our analysis was performed on the 23 helical segments and loops in 18 inactive and 9 active class A GPCRs. These 3-dimensional 24 structures were determined in complex with ligands. However, considering the flexible versus 25 rigid state identified by graph-theoretic ProFlex rigidity analysis for each helix and loop segment 26 with the ligand removed, followed by feature selection and k-nearest neighbor classification, was 27 sufficient to identify four segments surrounding the ligand binding site whose flexibility/rigidity 28 accurately predicts whether a GPCR is in an active or inactive state. GPCRs bound to inhibitors 29 were similar in their pattern of flexible versus rigid regions, whereas agonist-bound GPCRs were 30 more flexible and diverse. This new ligand-proximal flexibility signature of GPCR activity was 31 identified without knowledge of the ligand binding mode or previously defined switch regions, 32 while being adjacent to the known transmission switch. Following this proof of concept, the 33 ProFlex flexibility analysis coupled with pattern recognition and activity classification may be 34 useful for predicting whether newly designed ligands behave as activators or inhibitors, based on 35 the pattern of flexibility they induce in the protein.

36 Keywords: GPCR activity determinants; flexibility analysis; coupled residues; allostery; ProFlex; MLxtend;

- 37 feature selection; pattern classification
- 38

# 39 1. Introduction

40 Recognizing the features of small, drug-like ligand molecules and protein structures that 41 synergize to create an active protein state (binding to an agonist ligand) versus an inactive protein 42 state (binding an inhibitory ligand) is essential to design drugs with predictable effects on the 43 protein and organism. Much drug discovery research has focused on mimicking small molecule 44 ligands of known activity (when available), either by incorporating very similar chemical groups 45 that lead to cost-effective synthesis and favorable bioavailability and toxicity profiles, or by 46 mimicking the 3-dimensional volumes and chemical surface features of such molecules [1–3]. It is 47 not uncommon for such molecules to bind the protein with moderate to high affinity, but not 48 always with the activating or inhibitory effect that is sought. In this work, we focus on the other 49 side of the interface, seeking a general method that can learn from a series of active and inactive 50 structures in a protein family to identify the shared subset of protein features (without using ligand 51 information) that are reliable indicators of whether the protein is in an active or inactive state. 52 Identifying shared conformational changes, hydrogen bonds, hydrophobic contacts, and surface 53 shape between protein structures has been carefully explored in GPCRs [4–7]. Sharing of features 54 at an atomistic scale is dependent on conservation of the binding site and ligand type, however, and 55 therefore fine-scale features are unlikely to be shared across complexes in a diverse family.

56 Instead, we seek the signature of a shared flexibility mechanism, in the form of protein regions 57 whose flexibility or rigidity in the ligand-bound state form recognizable patterns across active (or 58 inactive) structures in the family. We then explore whether a small number of these intrinsic 59 flexibility features can reliably predict whether a given protein is in an active or inactive state.

60 We present this methodology and apply it to individual structures of different class A GPCRs 61 in a variety of conformations induced by small molecule agonists or antagonists, to discover hidden 62 commonalities in flexibility/rigidity between the active (or inactive) states. The results provide 63 new insights into how ligand binding to the orthosteric site (accessed from outside the cell) in this 64 class of GPCRs can create flexibility changes adjacent to the transmission switch residues, which in 65 turn undergo conformational changes acting as an on/off switch for binding intracellular protein 66 partners and signaling to downstream partners. The shared changes in flexibility between GPCRs 67 upon inhibitor or agonist binding also help distinguish key activity-relevant protein contacts of the 68 inhibitors, and elucidate how inhibitors alter the network of intraprotein contacts to create 69 biologically and pharmaceutically relevant responses.

70 For this analysis, we employed ProFlex, a successor to FIRST [8], an efficient and accurate tool 71 for evaluating flexibility and rigidity within protein structures. Instead of analyzing 72 conformational changes or dynamics, ProFlex analyzes the constraint network formed by covalent 73 bonds, hydrogen bonds, and hydrophobic contacts to identify constrained (rigid) regions within a 74 structure, as well as regions that are flexible and free to move due to the presence of fewer 75 constraints. Coupling within rigid regions or flexible regions (e.g., cooperatively flexible loops) is 76 also assessed automatically by ProFlex, with the rigid or flexible segments in a protein ranked from 77 most rigid to most flexible. These segments may be as small as a few atoms (e.g., the cyclopropyl 78 ring within proline) or as large as the entire protein, with no need for the user to partition atoms 79 into artificial groups (e.g., main chain or side chains). ProFlex evaluates all covalent, hydrogen 80 bond, and hydrophobic interactions and bond-rotational degrees of freedom within the system as a 81 molecular graph on which bond and bond-angle constraints are counted, following the structural 82 engineering theory developed by James Clark Maxwell, as extended to 2D and 3D atomic systems 83 by Hendrickson, Jacobs, Thorpe, and Kuhn [8-11].

84 The goals of this study were twofold: (1) predicting with high accuracy whether GPCR 85 structures are in active or inactive states, and (2) providing intuitive and human-interpretable 86 insights into the underlying patterns associated with the predictions. To identify a subset of GPCR 87 segments for making accurate activity predictions using a k-nearest neighbor classification model, 88 we employed sequential and exhaustive feature selection algorithms. While exhaustive feature 89 selection is guaranteed to find optimal feature subsets that maximize predictive performance, this 90 combinatorial search problem is computationally intractable on large feature spaces. Hence, we 91 employed sequential feature selection as a pre-filtering approach, which provides an excellent 92 compromise in efficiency and effectiveness, to filter for feature subsets that maximize prediction 93 accuracy of a k-nearest neighbor classifier before identifying the optimal feature subset via 94 exhaustive search. All machine learning approaches employed in this study (exhaustive feature 95 selection and k-nearest neighbor classification) are easy to use, yield intuitive results by 96 highlighting the relative importance of predictive features, and are freely available from GitHub

97 through the open source libraries MLxtend (http://rasbt.github.io/mlxtend/) and Scikit-learn
98 (https://scikit-learn.org) [12,13].

99 The predictive motif ultimately identified by the ProFlex machine learning analysis in this 100 work involves a tendency for the extracellular ends of helices 2, 3, and 5 and extracellular loop 1 101 surrounding the ligand binding site to be mutually rigid in inactive structures, as described in the 102 *Results.* The ionic lock, transmission, and tyrosine toggle conformational switch motifs identified 103 by other researchers and reviewed in [14] involve different regions: the intracellular end of helix 3, a 104 nonoverlapping segment of helix 5, and regions in helices 6 and 7. Thus, the ProFlex analysis 105 provides new information and reveals commonalities in the ways different inhibitors induce an off 106 state in class A GPCRs. This is important, because GPCRs comprise ~34% of all approved human 107 drugs [15], and the goal of drug design for many GPCRs is to downregulate their activity. Beta 108 blockers are one well-known class of inhibitory GPCR drugs, reducing blood pressure to 109 substantially reduce cardiovascular risk, and intraocular pressure in glaucoma to prevent retinal 110 damage; yet other GPCRs are targeted to control schizophrenia, allergies, and depression [16]. 111 Our goal is to identify key regions in proteins that regulate their activity, on which researchers can 112 then focus to improve drug design, as discussed in the Conclusions.

113 Two software utilities, BAT and BRAT (for <u>B</u>-value [<u>Residue</u>] <u>A</u>lignment <u>T</u>ool), have been 114 developed in this work and are also available via GitHub (https://github.com/psa-lab/ 115 Protein-Alignment-Tool). BRAT facilitates identifying and visualizing the correspondence between 116 user-defined sequence segments (such as ligand-binding residues) and residue numbers in one 117 protein when aligned with a sequence-divergent homolog using Dali structural superposition [17]. 118 BAT aligns and visualizes the temperature factor values (B-values), or other numeric properties 119 recorded in the B-value column of PDB-formatted protein structure files, across a number of 120 user-selected, structurally aligned proteins.

#### 121 2. Materials and Methods

#### 122 2.1. Selecting GPCR Structures

123 Diverse class A GPCR structures in the Protein Data Bank (PDB; https://www.rcsb.org; [18]) 124 were selected for analysis, following these criteria: resolution of 2.9 Å or better, to ensure 125 well-defined atomic positions and identification of appropriate non-covalent interactions; no pairs 126 of structures within the active or inactive sets with 80% or higher sequence identity, with the 127 exception of PDB entries 2YDV and 3QAK, which are bound to significantly different ligands (Table 128 When possible, the same GPCR was represented by a structure bound to both an 1). 129 activating/agonist ligand and an inhibitory/antagonist ligand (as defined by the crystallographers). 130 The resulting 18 inhibitor-bound GPCRs and 9 activator-bound GPCRs appear in Table 1, with the 131 ligand, resolution, and R-factor (R value) data for each entry. Crystallographic R values measure 132 the percentage difference in electron density when the data gathered from the diffraction 133 experiment is overlaid with the electron density calculated from the atomic model that was fit into 134 the electron density by the crystallographer, based on the known number of electrons associated 135 with each atom type. A problem with this R(work) definition for assessing structural quality is 136 that the refinement software used in structure determination is often designed to improve the fit 137 between the model and the experimental electron density, which improves (lowers) the R(work) 138 value but introduces bias. The R(free) value is used as a less biased measure of structural agreement 139 between the fitted structural model and the electron density data. To calculate R(free), 10% of the 140 experimental observations are removed from the data set before refinement, and the refinement is 141 then carried out with the remaining 90%. The R(free) value, also reflecting the percent difference in 142 electron density between the experimental data and fitted model, is measured by comparing the 143 electron density of the model fitted and refined to the 90% data set with the experimental electron 144 density calculated from the held-out 10% of the data. For an ideal structure, the R(free) value is 145 close to the R(work) value, though typically it is higher. Lower values for both R(free) and

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146 R(work) are more favorable, showing greater agreement between the experimental data and the 147 structure (https://pdb101.rcsb.org/learn/guide-to-understanding-pdb-data/r-value-and-r-free).

148 **Table 1.** Crystal structures of inactive and active ligand-bound GPCRs analyzed. Ligands were

removed prior to ProFlex analysis to focus on protein flexibility changes in inactive versus active proteins. See section 2.1 for definitions of R(free) and R(work)

						Resolution		
PDBID	Activity*	Chain	Structure Description	Ligand Name	Organism	(Å)	R(free)	R(work
				4-{[(2s)-3-(Tert-butylamino)-2-hydroxypropyl]oxy}-3h-indole-			7727-3	124.77
2VT4	0	Α	Beta1 adrenergic receptor	2-carbonitrile	Meleagris gallopavo	2.7	0.27	0.21
				(6,6-dimethyl-5,6-dihydroimidazo[2,1-b][1,3]thiazol-3-				
BODU	0	Α	CXCR4 chemokine receptor	yl)methyl n,n'-dicyclohexylimidothiocarbamate	Homo sapiens	2.5	0.28	0.24
				{(3r)-3-Amino-4-[(3-hexylphenyl)amino]-4-				
3V2Y	0	Α	Lyso-phospholipid sphingosine 1-phosphate receptor	oxobutyl}phosphonic acid	Homo sapiens	2.8	0.27	0.23
				Ethyl [(1r,3ar,4ar,6r,8ar,9s,9as)-9-{(e)-2-[5-(3-				
				fluorophenyl)pyridin-2-yl]ethenyl]-1-methyl-3-				
3VW7	0	Α	Human protease-activated receptor 1 (PAR1)	oxododecahydronaphtho[2,3-c]furan-6-yl]carbamate	Homo sapiens	2.2	0.24	0.22
				4-{2-[(7-amino-2-furan-2-yl[1,2,4]triazolo[1,5-a][1,3,5]triazin-5-				
3EML	0	Α	A2A adenosine receptor	yl)amino]ethyl}phenol	Homo sapiens	2.6	0.23	0.20
2RH1	0	Α	Beta2-adrenergic receptor	(2s)-1-(9h-carbazol-4-yloxy)-3-(isopropylamino)propan-2-ol	Homo sapiens	2.4	0.23	0.20
1GZM	0	А	Bovine rhodopsin	retinal	Bos taurus	2.6	0.24	0.20
				Methyl 4-{[(5beta,6alpha)-17-(cyclopropylmethyl)-3,14-				
4DKL	0	А	Mu-opioid receptor	dihydroxy-4,5-epoxymorphinan-6-yl]amino]-4-oxobutanoate	Mus musculus	2.8	0.28	0.23
3PBL	0	A	Dopamine D3 receptor	Eticlopride	Homo sapiens	2.9	0.20	0.23
4DJH	0	A	Kappa opioid receptor	IDTic	Homo sapiens	2.9	0.27	0.24
4MBS	0	A	CCR5 chemokine receptor	Maraviroc	Homo sapiens	2.9	0.27	0.23
4S0V	0	A	OX2 orexin receptor	Suvorexant	Homo sapiens	2.5	0.28	0.22
450V 4U15	0	A				2.5	0.24	0.20
4015 4XNW	0	A	M3 muscarinic receptor Purinergic receptor P2Y1	Tiotropium MRS2500	Rattus norvegicus Homo sapiens	2.8	0.26	0.23
			V 1					
4YAY	0	A	Angiotensin receptor	ZD7155	Homo sapiens	2.9	0.27	0.23
4Z35	0	A	Lysophosphatidic acid receptor 1	ONO-9910539	Homo sapiens	2.9	0.27	0.28
5CXV	0	A	M1 muscarinic acetylcholine receptor	Tiotropium	Homo sapiens	2.7	0.28	0.23
5T1A	0	Α	CC chemokine receptor 2 (CCR2)	BMS-681	Homo sapiens	2.8	0.27	0.23
				6-(2,2-diphenylethylamino)-9-[(2r,3r,4s,5s)-5-				
				(ethylcarbamoyl)-3,4-dihydroxy-oxolan-2-yl]-n-[2-[(1-pyridin-				
3QAK	1	Α	A2A adenosine receptor	2-ylpiperidin-4-yl)carbamoylamino]ethyl]purine-2-	Homo sapiens	2.7	0.27	0.22
4IAR	1	Α	5-HT1b	ergotamine	Homo sapiens	2.7	0.26	0.22
4PXZ	1	Α	Purinergic receptor P2Y12 receptor	2-(methylsulfanyl)adenosine 5'-(trihydrogen diphosphate)	Homo sapiens	2.5	0.23	0.20
2YDV	1	Α	A2A receptor	n-ethyl-5'-carboxamido adenosine	Homo sapiens	2.6	0.26	0.23
3PQR	1	Α	Metarhodopsin II	retinal	Bos taurus	2.8	0.25	0.22
				(2s,3s,3ar,5ar,6r,11br,11cs)-3a-Methoxy-3,14-dimethyl-2-				
				phenyl-2,3,3a,6,7,11c-hexahydro-1h-6,11b-(epiminoethano)-				
5C1M	1	Α	Mu-opioid receptor	3,5a-methanonaphtho[2,1-g]indol-10-ol	Mus musculus	2.1	0.22	0.19
4XES	1	Α	Neurotensin receptor	neurotensin chain B	Rattus norvegicus	2.6	0.28	0.23
5GLH	1	Α	Endothelin receptor type B	endothelin-1 peptide chain B	Homo sapiens	2.8	0.28	0.23
5TVN	1	Α	5-HT2b receptor	LSD	Homo sapiens	2.9	0.26	0.21
				*1 = active; 0 = inactive				

#### 151 2.2. Defining Regions in GPCR Structures for Machine Learning

152 While ProFlex groups atoms that are flexible (or rigid) according to the natural partitioning of 153 degrees of freedom in the protein chain following constraint-counting of covalent and non-covalent 154 interactions in the bond network, machine learning with feature selection requires features that are 155 consistently defined across the analyzed proteins. A natural feature representation, given the goal 156 of identifying flexibility motifs in the protein associated with active or inactive states, is to segment 157 the GPCR structures into small regions (Figure 1), and report the degree of flexibility in each region 158 following ProFlex assessment. Accordingly, the extracellular (ECL) and intracellular (ICL) loops 159 and canonical transmembrane helices (H1-H7) and C-terminal intracellular helix (H8) were 160 numbered sequentially from the N-terminus to C-terminus, and then tabulated for each of the 27 161 protein structures. Each transmembrane helix was further segmented into 3 parts: the segment 162 closest to the extracellular surface (e.g., H1.1 for helix 1), the most membrane-buried segment 163 (H1.2), and the segment closest to the intracellular surface of the membrane (H1.3). This tripartite 164 segmentation for transmembrane helices is based on prior observations that the extracellular, 165 interior, and intracellular segments of transmembrane segments have different amino acid sequence 166 attributes, and therefore it can be advantageous for structural predictions to consider the regions 167 separately [19,20]. Figure 1 shows the resulting 29 segments considered in each GPCR structure 168 (H1.1, H1.2, H1.3, ICL1, etc.) along with activity switch regions that have been characterized in class 169 A GPCRs (the ionic lock, transmission switch, and tyrosine toggle; reviewed in [14]). The first 170 extracellular loop in the GPCRs, preceding H1, was not included in the analysis. Its length and 171 structure vary enormously across GPCRs, and this loop is often removed or altered in protein 172 constructs prior to crystallization or fails to yield reliable atomic coordinates due to high mobility.





173 Figure 1. Class A GPCR architecture, partitioned into segments for machine learning analysis. 174 Extracellular loops are labeled ECL1, ECL2, and ECL3 from N-terminus to C-terminus, and the 175 intracellular loops are labeled ICL1, ICL2, and ICL3. Each transmembrane helix is divided into 176 three segments, extracellular, interior, and intracellular, and indexed first by the helix number, e.g., 177 H1, then by the segment of helix from N-terminus to C-terminus. For instance, H1.2 is the second 178 (interior) segment of helix 1. Helix 8, which is intracellular and shorter, was divided into two 179 segments. Previously characterized activity switch regions and their key amino acid residues in 180 GPCRs - the ionic lock, transmission switch, and tyrosine toggle - are also annotated [14]. The 181 residues shown are those found in human CXCR4 (PDB entry 3ODU).

#### 182 2.3. Performing and Interpreting ProFlex Analysis

183 To prepare PDB structures for ProFlex analysis, water molecule and hydrogen atom positions 184 (which are absent or variably assigned between structures) and any ANISOU data records were 185 removed. (These records encode anisotropic mobility data, with the same atomic coordinates 186 repeated for the x, y, and z directions of motion; repeated atomic coordinates would be 187 misinterpreted as new atoms by the software.) All ligands, as well as protein chains not relevant 188 to the biological state of the protein (e.g., antibodies used to aid in crystallization) were removed 189 before ProFlex analysis (v 5.2; https://github.com/psa-lab/proflex; [8,11]). Hydrogen atom positions 190 were then added consistently to all structures, in optimal orientations for hydrogen bonding, using 191 the OptHyd method in the molecular mechanics package YASARA Structure (v 16.4.6; 192 http://www.yasara.org; [21]). Hydrogen atom positions may alternatively be assigned using other 193 molecular mechanics software or Reduce (https://github.com/rlabduke/reduce). ProFlex was run as 194 defined in the SiteInterlock protocol (https://github.com/psa-lab/siteinterlock with detailed 195 documentation at https://psa-lab.github.io/siteinterlock/index.html; [22]), without the ligand 196 conformational search and docking steps preceding ProFlex, as the GPCR structures were analyzed 197 without ligands. An appropriate hydrogen bond energy cut-off for ProFlex flexibility/rigidity 198 analysis, defined by the HETHER routine (https://github.com/psa-lab/siteinterlock/blob/master/ 199 scripts/proflex\_hether.py) in the SiteInterlock protocol, was option C, the energy closest to (but less 200 than) the level at which 70% of the protein residues were rigid.

Homology models for GPCRs contribute importantly to the field, given the difficulty of preparing native-like, pure membrane proteins for experimental structural determination. However, in past work, we noted that homology modeling does not always provide precise enough locations for the donor and acceptor atoms of hydrogen bonds, resulting in fewer identified bonds and underconstrained, overly flexible results from ProFlex. However, other aspects of a protein structure that are less dependent on positional resolution, such as the spatial location of different amino acid types and their clustering in protein structures, could also be good predictors of sites
 important for protein activation. Such alternative types of data as features can be used and tested
 as predictors with the same machine learning approach.

210 Aside from the structural resolution caveat, there is no fundamental limitation to the 211 application of this method to any protein family for which 3D structures and at least one known 212 active case and one inactive case are available. That said, we would not advise mixing GPCRs 213 from different families together, because the structures between GPCR families differ, as do their 214 molecular partners and mechanisms of activation (especially for GPCRs that bind ligands in an 215 extracellular domain). In different GPCR families, a different set of features may be key to 216 activation. They can be unveiled by the machine learning feature selection approach described 217 here when trained on that particular family. Another aspect that can vary from family to family is 218 whether the automatically chosen ProFlex energy level (HETHER option C, mentioned above) is 219 appropriate for that particular family. This can be assessed most readily by a user knowledgeable 220 about the protein family, by inputting to ProFlex a well-characterized active structure, then a 221 well-characterized inactive structure, and visually identifying the energy level in the two ProFlex 222 hydrogen bond dilution profiles (e.g., Figure 2A) that best identifies the known 223 (literature-described) flexibility features that differ between the active and inactive states. Once that 224 energy level is established, ideally by evaluating more than one protein in the family, it can be used 225 as the ProFlex energy threshold for predicting the active/inactive state of other family members. 226 Because known exemplars of active and inactive states are used by the KNN classifier as the basis 227 for predicting the activity of new structures, including more known examples may also improve the 228 predictive accuracy.

229 The interplay between ProFlex and the KNN classifier used for prediction (Figure 2) begins 230 with the hydrogen bond dilution (HBdilute) results from ProFlex. ProFlex includes all the 231 hydrophobic and hydrogen bond interactions it detects in the protein structure using stringent 232 geometric criteria [11]. The topmost data record (line) in the HBdilute results for PDB entry 2RH1, 233 human  $\beta$ 2-adrenergic G protein-coupled receptor (panel A), shows the rigid regions (colored bars) 234 and flexible regions (black lines) in the protein, from N-terminus to C-terminus, labeled by residue 235 number along the top. The red bars indicate residues contributing to the largest rigid region in the 236 protein, which at this energy level includes most of the structure except for a loop in the second half 237 of the sequence, encompassing residues 231-265.

238 Using the HBdilute option, ProFlex then proceeds to analyze the protein at increasing 239 hydrogen bond energy levels, mimicking the process of gradually heating the protein and 240 observing how the energy-dependent hydrogen bonds break, one by one. Hydrophobic 241 interactions, on the other hand, remain or tend to become stronger with moderate increases in 242 energy [23]. Each time the breakage of a hydrogen bond dilutes the constraint network 243 sufficiently that part of the protein becomes flexible (which ProFlex assesses quantitatively, using 244 rigidity theory), a new line showing rigid and flexible regions is written in the HBdilute output 245 (which is also provided in text format). Each new, separately rigid region appears as a bar in a 246 different color (green, dark blue, light blue, and orange, in this case). The H-bond energy level for 247 each line appears in kcal/mol in the second column from the left, with all hydrogen bonds in the 248 current bond network being at least as strong as (equally or more negative than) this energy. The 249 donor and acceptor atoms of the H-bond that was most recently broken are reported at the end each 250 line. As a whole, the hydrogen bond dilution profile for a protein can be viewed as a profile of 251 structural rigidity and flexibility from lowest energy (top line) to highest energy (bottom line), and 252 used to identify the most persistently rigid or structurally stable regions in the protein, as well as 253 how flexibility evolves in regions of the protein with increasing energy. Coupling information can 254 also be derived from this output for the rigid region. For instance, helices H2, H3, H5, H6 and H7 255 all participate in one rigid cluster (red region) at the energy at which their helix labels appear in the 256 center of Figure 3(A), whereas H1 and H4 at that energy are separately rigid (green and light blue 257 bars) and H8 has become almost entirely flexible (black line).

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Figure 2. Schematic of how (A) ProFlex results for a GPCR correspond to (B) a 3-dimensional structural representation of flexibility/rigidity; (C) these flexibility/rigidity features are tabulated as discrete features for machine learning; and (D) a KNN classifier is employed with the features for activity prediction.

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264 For the flexibility/rigidity analysis of all GPCRs, the highest (most negative) energy was chosen 265 at which 70% or more of the residues were part of a rigid region. This 70% rigid level corresponds 266 to a native-like state in which most GPCR helices and parts of the loops typically contribute to a 267 large scaffold-like rigid region (l), with one or more of the helices and loops becoming separately 268 rigid (s) or flexible (f). This energy level for  $\beta^2$ -adrenergic receptor is indicated in Figure 2(A) by the 269 helix labels H1, H2, H3, etc., appearing on the corresponding hydrogen bond dilution line. Figure 270 2(B) shows how the rigid regions at this energy level map onto the 3-dimensional structure of 271 β2-adrenergic receptor. The largest rigid region (red ribbon) is comprised by helix 2, helix 3, most 272 of helix 5, and an extracellular short helix (top of figure, residues 177-187, not assigned a helix 273 number since this helix is absent in other GPCRs) is part of the mostly rigid loop connecting helices 274 4 and 5. Separately rigid regions appear in helix 1 (green ribbon) and helix 4 (light blue ribbon), 275 and the position of the bound ligand (not included in ProFlex analysis) is indicated by the narrow 276 tubes in green (carbon atoms), blue (nitrogen atoms) and red (oxygen atoms) behind the 277 extracellular (upper part) of helices 3 and 4. Regions appearing in light grey in the structure are 278 flexible at this energy level, corresponding to the horizontal black-lined regions in Figure 2(A).

#### 279 2.4. Machine Learning with ProFlex Features

To identify characteristic flexibility features and avoid overfitting when predicting protein activity, we focused on identifying the subset of features most likely to contain useful information (Figure 3). This was done in two ways. A profile of the frequency at which each segment (e.g., H1.1) was observed by ProFlex to be flexible, separately rigid, or part of the largest rigid region in active versus inactive structures (see *Results*) was used to identify features (e.g., ECL11) with signifi-



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**Figure 3.** Flowchart of how ProFlex and machine learning are used to identify features that predict the active/inactive state of GPCRs from their distribution of flexible and rigid regions.

cant differences in prevalence (at least 25%) between active and inactive GPCRs. Those features showing the greatest difference in prevalence between active and inactive structures were considered sensitive features. As a second approach, feature selection algorithms were used to identify a subset of features showing the greatest discrimination between active and inactive proteins. Here, the term feature refers to the flexibility/rigidity state of each of the 29 segments in each GPCR structure. To identify useful feature subsets, we employed sequential feature selection (SFS) followed by exhaustive feature selection [24,25].

295 Exhaustive feature selection (EFS) evaluates all possible feature subsets that can be created 296 from the original set (87 features). When evaluating all feature subsets, the goal is to select the one 297 that maximizes a user-specified performance criterion, for example, the accuracy of a classification 298 model trained to predict active/inactive protein structures. While this approach is guaranteed to 299 find the optimal feature subset, it is computationally intractable due to the large number of feature 300 subsets to be considered, unless the initial feature set is small. Even for small feature sets, the 301 number of subsets can be prohibitively large. For example, the number of possible feature subsets 302 of size 8 that can be created from a set of 29 features is more than 3 million (3,108,105).

303 Similar to EFS, sequential feature selection (SFS) reduces the original d-dimensional feature 304 space to a k-dimensional feature subspace, where k<d. By contrast, SFS is a greedy search paradigm 305 that constructs feature sets in an iterative fashion guaranteed to only improve the quality of 306 prediction, but it does not evaluate every possible feature set. SFS is a computationally manageable 307 alternative to EFS, and in our case was used as a feature-filtering step prior to EFS. This approach 308 reduces the feature space to focus on features with the most predictive power. SFS exists in two 309 modes, forward and backward SFS [25]. Backward mode SFS (Figure 4) removes features from the 310 original feature set in an iterative fashion until the new, smaller feature subspace contains a 311 user-specified number of features. In each iteration of the selection algorithm, an objective function 312 is to be optimized. For instance, the objective function is commonly defined as minimizing the 313 performance difference of a predictive model before and after removing a specific feature. In each 314 round, backward-mode SFS eliminates the feature that causes the least performance loss upon

315 removal [24].





Figure 4. Illustration of backward sequential feature selection for identifying feature subsets that
maximize the performance of a predictive model. In this study, the candidate feature subsets were
evaluated by using leave-one-out cross-validation and the out-of-bag bootstrap method with a
345 3-nearest neighbor classifier. The classifier accuracy in predicting active/inactive cases in the GPCR
held-out test data was used to evaluate each feature subset, as detailed in Table 2.

347 Similar to backward mode SFS, forward mode SFS creates a feature subset of a user-specified 348 size from the original feature set. Forward mode SFS starts with an empty feature set, adding one 349 feature at a time (the feature resulting in highest predictive accuracy) until the feature set reaches a 350 user-specified size, m, which is smaller than the number of features available for selection (e.g., the 351 87 flexibility values for structural segments in each GPCR). Since forward mode SFS starts with an 352 empty feature set with features added one at a time, m iterations are necessary to obtain a feature 353 subset of size m. In each iteration of forward mode SFS, the only features added to the training set 354 are ones that were not added in prior iterations.

In addition, so-called floating versions of forward and backward mode SFS can explore a larger portion of the space of all possible feature subsets compared to SFS while still being computationally tractable [25]. In contrast to backward mode SFS, floating backward mode selection allows an already removed feature to be added back at a later iteration, if it improves the 359 predictive performance of a classifier trained on this subset. Similarly, in floating forward selection, 360 a feature that was previously added may be removed if this results in improved predictive 361 accuracy.

362 To evaluate the performance of different feature subsets, a k-nearest neighbor (KNN) classifier, 363 implemented using Scikit-learn's KNeighborsClassifier model [13], was used in conjunction with 364 leave-one-out cross-validation (LOOCV). In LOOCV, the classification model is applied n times to 365 the each of the left-out test cases being predicted, and each training set consists of the remaining n-1 366 cases. In other words, of the 27 GPCRs, one is left out as the test case to be predicted by the KNN 367 classifier, and the feature values and known active/inactive state of the other 26 GPCRs are used to 368 train the KNN classifier as shown in Figure 2. In each round, the model predicts whether the 369 left-out case (represented by one GPCR feature set) corresponds to an active or inactive structure 370 based on its nearest neighbors (feature sets plotted as points with activity labels) from the 27 GPCRs 371 in the training set.

372 An example of using training set feature values as input to the KNN classifier appears in Figure 373 2(C), where GPCR X is the new GPCR (or left-out training case) for which the active or inactive state is 374 to be predicted. In the KNN classifier, the values of features for the training set cases are plotted on 375 axes in a multi-dimensional space (up to 8 dimensions, for up to 8 features). In Figure 2(D), a subset 376 of three key features, H5.11, H2.2s, and H3.1f, is being tested to predict activity. The corresponding 377 feature values for each GPCR in the training set are plotted in this 3-dimensional space. 2RH1, 378 3EML, and 2V2Y are plotted as values (1, 0, 0), corresponding to H5.1 being part of the largest rigid 379 region, H2.2 not being a separate rigid region, and H3.1 not being flexible. These three proteins are 380 all known members of the inactive class, in this two-class problem where a GPCR structure is defined 381 as either active or inactive. Two known-active GPCRs, 3PQR and 2YDV, are plotted with their values 382 (1, 0, 1). 5GLH, also active, is plotted with its (0, 1, 1) value, and the test case, GPCR X, is then 383 plotted according to its feature values. The KNN classifier considers the k nearest training set 384 neighbors of the test case, GPCR X, in this feature space, by computing the Jaccard similarity 385 coefficient to measure nearness. The KNN then predicts the class of GPCR X based on whether active 386 or inactive training examples dominate as its nearest neighbors. Generally, an odd number of 387 neighbors (odd k values) are considered to avoid the possibility of an equal number of neighbors from 388 the two classes (to avoid tie-breaking schemes), and a series of different k values are tested. Class 389 imbalance - the fact that more inactive GPCR structures than active GPCRs are available for training -390 must be addressed by the classifier in the choice of discriminatory features and an optimal k value; 391 this is generally better than pruning examples from the training set, which loses useful information. 392 The effect of class imbalance is considered again in the *Results*, in terms of the enhancement of 393 predictive accuracy of the best feature sets relative to a dummy classifier, which simply predicts that 394 all test cases match the dominant class in the training set (inactive).

395 After obtaining n predictions on the held-out data points in LOOCV for a given feature 396 subset, the predictive accuracy for that set of features is computed as the percentage of predictions 397 that were correct. Predictive accuracy was also measured by bootstrap cross-validation. For each 398 bootstrap iteration for the 27 GPCR cases in the dataset, a random sample of 27 structures was 399 selected from the GPCR dataset with replacement (meaning that a structure could be selected at 400 random more than once). Every GPCR not in this training set was assigned to the *out-of-bag* test 401 set. This bootstrap process, defining training and test sets for use with the selected feature set for 402 KNN classification, was iterated 10,000 times, allowing the calculation of mean accuracy and 403 standard error values. The most accurate feature sets and their leave-one-out and bootstrap 404 accuracy statistics are summarized in the Results.

Finally, the key features, meaning the superset of the SFS best-predictor feature sets from above, plus the features selected based on exhibiting at least 25% difference in prevalence between active and inactive GPCRs, were input to exhaustive feature selection. EFS enumerated all subsets of up to 8 key features as input to the KNN classifier, to predict whether each GPCR was active or inactive (Figure 3, Step 4). Including more than 8 features did not enhance prediction, consistent

410 with the general statistical observation that overfitting is more likely to occur as the number of 411 features approaches the number of cases being analyzed (27 in this study).

412 The general exhaustive and sequential feature selection methods outlined in this section can be 413 combined with any machine learning algorithm for classification, and the specific MLxtend 414 software implementation of SFS and EFS used in this study is compatible with any classifier 415 implemented in Scikit-learn. We repeated the steps outlined in this section using generalized linear 416 models such as logistic regression and a linear support vector machine (SVM) instead of KNN. 417 Both logistic regression and linear SVM resulted in feature subsets with lower predictive 418 performance compared with the KNN classifier, which is likely due to the linear models' inability 419 to capture the complex relationship between the input features and the class labels. A nonlinear 420 radial basis function (RBF) kernel SVM was not considered in this study, as it requires extensive 421 hyperparameter tuning and is thus prone to overfitting on a small dataset such as ours. Finally, we 422 chose and focused on KNN as the primary classifier for this study, because it does not require 423 extensive hyperparameter tuning and remains interpretable; for instance, predictions for new 424 structures can be analyzed by querying and analyzing its nearest-neighbor structures in the existing 425 data set.

#### 426 2.5. Comparing GPCR Regions and Numeric Properties with Alignment Visualization Tools

427 A challenge for GPCRs and many other protein families, given the evolutionary and functional 428 diversity of sequences now available, is to identify which amino acid residues correspond between 429 binding sites (or other regions of interest) when two sequences are homologous but cannot be aligned 430 precisely (especially in less-conserved regions) by sequence similarity. This problem is easier to 431 address for proteins with known 3-dimensional structures, as considered here, because robust 432 structural alignment tools such as Dali (http://ekhidna2.biocenter.helsinki.fi/dali/; [17]) are able to 433 define which protein segments overlay significantly in 3D structure by comparing inter-alpha-carbon 434 distance matrices rather than the amino acid sequences. The significance of the Dali structural 435 alignment can evaluated by its Z-score, measuring the number of standard deviations this alignment 436 scores above a random structural alignment, taking into account the length and closeness of 437 alpha-carbon overlay. Significant similarities have Z-scores above 2 and usually correspond to similar 438 protein folds. From the resulting Dali structural alignment, the alignment of residues of interest to 439 the user can be inferred.



440 Figure 5. Comparison of the BRAT and BAT tools for annotating structure-based sequence
441 alignment according to key residues (BRAT) or numeric property values from the B-value column
442 of the PDB structure files (BAT).

443

Two software utilities for highlighting sequence features of user interest, especially for proteins with regions of low sequence identity, have been developed in this work. These tools, BAT and BRAT (for <u>B</u>-value (<u>Residue</u>) <u>Alignment Tool</u>), are documented and available via GitHub

447 (https://github.com/psa-lab/ Protein-Alignment-Tool). As summarized in Figure 5, BRAT facilitates 448 identifying and visualizing the correspondence between sequence segments of interest to the user 449 (such as ligand-binding residues or extracellular loop regions) and residue numbers in one protein 450 when aligned with a possibly sequence-divergent homolog, by using Dali structural superposition 451 as input. BRAT alignment is written in HTML format suitable for publication or presentation, or 452 comma-separated value (CSV) format suitable for further analysis, using single letter codes for the 453 residues, with residue numbers labeled, and user-defined key residues highlighted. BRAT also 454 supports automated definition of key residues based on the distance between residues and a 455 user-specified ligand. The related BAT utility aligns and visualizes the temperature factor values 456 (B-values) or other numeric properties recorded in the B-value column of PDB-formatted structure 457 files, across two or more user-selected, Dali structurally aligned proteins. BAT writes the output of 458 residues and correspondingly aligned B-values in CSV format, which can read and analyzed further 459 by spreadsheet tools such as Excel. These approaches provide more robust comparison between 460 corresponding regions than a sequence-based approach for divergent sequences, such as the ligand 461 binding sites or loop regions in GPCRs.

462 A meaningful comparison between key regions in two proteins (e.g., ligand binding or 463 allosteric pathway residues) depends upon a reliable alignment of their protein sequences, rather 464 than requiring 3D structures. For the present work, we focused on structure-based alignments 465 because they allow definition of a clear correspondence between residues in protein regions where 466 the sequence similarity is too low to allow confident sequence alignment. The helpfulness of 467 structure-based alignment is particularly clear for the ligand binding sites of different class A 468 GPCRs, which bind remarkably diverse ligands and therefore are not well conserved in sequence, 469 while being substantially conserved in 3D structure. Structural alignment can define which 470 residues between two proteins occur in the same position in the structure (or not). Sequence 471 alignment methods that align one sequence to a multiple sequence alignment for the protein family, 472 where the constituent sequences are chosen to reflect the protein's evolutionary diversity, can 473 partially address the challenge of aligning divergent sequences. This is because multiple sequence 474 alignments containing many evolutionarily related sequences implicitly include information about 475 the tolerance for different amino acid mutations and insertions or deletions at each position, which 476 allows the alignment method to knowledgeably penalize for the presence of improbable residues or 477 insertions or deletions at each position. For low-identity regions, it is still important to evaluate a 478 local measure of the likelihood that each region of the sequence is correctly aligned before 479 considering the residues in the proteins to be equivalent. Once such an alignment is available 480 from any robust approach, formatting it like the standard Dali input (see documentation under 481 https://github.com/psa-lab/ Protein-Alignment-Tool) will allow BRAT and BAT to run successfully.

## 482 3. Results and Discussion

#### 483 3.1. Identifying Key Flexibility Features for Predicting Activity

484 The frequency at which each structural segment occurs in a ProFlex-determined flexible, separately 485 rigid, or largest rigid region in active versus inactive GPCR structures appears in Figure 6. Sensitive 486 features to evaluate for predicting activity were derived from this profile, based on their large 487 differences in frequency of occurrence between active (solid lines) and inactive structures (dashed 488 line). If two flexibility categories for a given segment (e.g., ECL2l and ECL2f) both showed large 489 differences in frequency between active and inactive structures, the feature with the larger 490 difference was selected as the sensitive feature. Features exhibiting a difference of 25-30% between 491 active and inactive structures were ECL1l, H6.1s, and H8.2s. Features H1.3s, H3.1f, ECL2l, H5.1l, 492 and H7.2l all differed between active and inactive cases by 30-40%, while H7.1l differed by 44%. 493 H2.1s and H2.2s were the most discriminatory features, exhibiting 50-55% difference between active 494 and inactive structures. Additional features selected by the forward or backward sequential 495 feature selectors as most discriminatory between active and non-active cases were: H1.2f, ICL1f, 496 H2.1f, H3.3f, ICL2f, H4.1l, H5.2f, H6.1f, H7.3s; and H2.3s, H2.1l, ECL1f, H1.3l, and H2.2s.



516Figure 6. Average rigidity profiles of GPCR structures by subsection and activity. There were 9517active structures and 18 inactive structures used for these average profiles. The occurrence values of518the three rigidity assignments (f, l, and s) for active (or inactive) structures in each segment (e.g.,519H1.1.) sum to 1.0 (100%).

### 520 3.2. Accurate Classification of GPCR Activity Based on the Flexibility of Key Regions

521 The top-performing four feature sets for predicting the activity of GPCRs in KNN classifier 522 cross-validation appear in Table 2. Interestingly, a subset of four flexibility features, H2.2s, ECL1l, 523 H3.1f, and H5.1l, were common to the top four feature-based predictors (96.3% leave-one-out and 524 79.6% bootstrap accuracy; top line in Table 2). Predictive accuracy was enhanced slightly by 525 adding two features, H2.1s, and H6.1f (second line in Table 2), to the above four. Figure 7 visualizes 526 the spatial relationships between the top four structural flexibility features in a class A GPCR, 527 β2-adrenergic receptor, where they were found to surround the ligand binding site.

Table 2. Accuracy of the highest-performing feature sets upon KNN classifier assignment of active
 or inactive state from leave-one-out and bootstrap testing on subsets of 27 GPCR structures

Feature set	Leave One Out Accuracy	Bootstrap Mean Accuracy	Standard Error
ECL11, H2.2s, H3.1f, H5.11	96.3%	79.6%	14.0%
ECL11, H2.1s, H2.2s, H3.1f, H5.11, H6.1f	96.3%	81.7%	12.6%
ECL11, H2.21, H2.2s, H3.1f, H5.11, H6.1f	96.3%	81.1%	12.5%
ECL1, H2.1l, H2.2s, H3.1f, H5.1l, H6.1f	96.3%	80.8%	12.5%
Dummy classifier: always predicts inactive	66.6%	60.4%	15.5%

13 of 21

#### 530 3.3. Patterns of Flexibility and Correlation Between Activity-Predicting Features

531 The features ECL11 and H5.11 were most important for predicting inactive states, followed by 532 H6.1f, based on their enhanced occurrence in inactive GPCRs. On the other hand, active GPCRs 533 were associated with greater flexibility in the key regions, specifically the presence of H3.1f, H2.1s, 534 and H2.2s; these three features were never observed in the inactive GPCRs. While up to eight 535 features were included in the feature sets sampled exhaustively as input to the classifier, none of 536 the top predictors included more than six features. The best-performing feature-based classifiers 537 (Table 2) were well-balanced between features associated with active states versus inactive states. A 538 dummy classifier that always predicted structures as inactive (comprising the dominant class, 18 of 539 the 27 GPCR structures) was used to assess the gain in accuracy relative to using sensitive feature 540 selection plus SFS with the KNN classifier. Table 2 indicates that the best feature-selection 541 predictors yielded 30% higher leave-one-out predictive accuracy and 21% higher bootstrap 542 accuracy than the dummy classifier (bottom line), while also having 3% less variability in bootstrap 543 accuracy (as measured by standard error).

544 How can these concepts and methods be applied to an individual GPCR, to help define 545 residues that contribute to activation or inactivation? Here we focus on one of the best-studied 546 GPCRs, rhodopsin, given structures of its inactive (PDB entry 1GZM) and active (PDB entry 3PQR) 547 states. Of the six key flexibility features identified here across class A GPCRs, two differ 548 significantly between inactive and active rhodopsin states. ProFlex results show that the H2.1 549 region (the cytoplasmic third of helix 2) is part of the largest rigid region in inactive rhodopsin 550 (known as opsin), while its initial residues are flexible in the active form. Secondly, the H6.1 551 region (the cytoplasmic third of helix 6) is separately rigid in opsin, while being flexible in active 552 rhodopsin. These flexibility changes are consistent with the trend of key regions in class A 553 GPCRs, as a whole, to contribute to the largest rigid region (the protein scaffold) in the inactive 554 state while exhibiting increased flexibility and uncoupling to other regions of the protein in the 555 active state (Figure 7). How do the ProFlex results compare with experiments characterizing the 556 rhodopsin transition between inactive and active forms? H6.1 includes the ionic lock residue 557 E247, which forms a salt bridge with R135 in opsin but not in active rhodopsin. The loss of the ionic 558 lock interaction is consistent with the ProFlex observation of increased flexibility in H6.1 upon 559 activation. Secondly, the cytoplasmic end of helix 6 (H6.1) is observed to hinge towards helix 5 560 upon comparison of the active and inactive structures [26], which is consistent with the increased 561 flexibility of H6.1 found by ProFlex in the active state. Narrowing down the most important protein 562 flexibility transition sites for activation from the plethora of conformational changes observed 563 between crystal structures is a valuable application for ProFlex machine learning. This can 564 suggest a much more focused set of experiments - to test H6.1 hinge residues, for instance - as well 565 as indicating which flexibility transitions are shared with other class A GPCRs.

566 We also asked: to what extent were the most predictive flexibility features correlated? For 567 instance, when ECL1 was observed to be part of the largest rigid region (resulting in feature ECL1), 568 was adjacent H3.1 flexible (H3.1f) or not? Correlation analysis can help us understand whether 569 the flexibility features work together or are relatively independent in influencing GPCR activity. 570 To address this, Figure 8 shows pairwise correlation of the six features in the most accurate 571 predictor (Table 2). The only highly correlated features were H2.1s and H2.2s; in structures where 572 the N-terminal segment of helix 2 is separately rigid (in the H2.1s state), the central segment of helix 573 also tends to be separately rigid (H2.2s), with a correlation coefficient of 0.78. 70% of the H2.1s 574 and H2.2s occurrences are in active GPCRs. All other feature pairs in Figure 8 have absolute 575 correlation values less than 0.45. Thus, most predictive features behave fairly independently of each 576 other, while together being good predictors of an active or inactive GPCR state. The only highly 577 correlated features were H2.1s and H2.2s; in structures where the N-terminal segment of helix 2 is 578 separately rigid (in the H2.1s state), the central segment of helix also tends to be separately rigid 579 (H2.2s), with a correlation coefficient of 0.78. 70% of the H2.1s and H2.2s occurrences are in active 580 GPCRs. All other feature pairs in Figure 8 have absolute correlation values less than 0.45. Thus, 581 most predictive features behave fairly independently of each other, while predicting well together.

582		H3.1 (yellow) can be flexible in active	
583	ECL1 region (yellow	structures, whereas it is part of the scaffold-like	H5.1 (yellow) tends to be part of the scaffold-like
584	loop) is often part of the scaffold-like largest rigid	largest rigid region (red) in inactive structures	largest rigid region (red) in inactive structures, and separately rigid
585	region (red) in inactive structures, but usually flexible in active structures		(hinging relative to the rest of H5) or flexible in active
586	E	- And	structures
587	R	ZAT K	<b>Y</b>
588	H2.2 region (yellow) tends		>
589	to be a section of helix hinged to the end of the		2
590	helix 2 (H2.3) in active structures, while it tends to be mutually rigid with the	73 🍆	
591	scaffold-like largest rigid region of the GPCR (red) in	24953	3
592	inactive structures	2-7-2-22	
593			Y
594			
595			

596Figure 7. The four GPCR regions whose flexibility allows the most discrimination between active597and inactive structures are highlighted in yellow; the remainder of the largest rigid region in human598β2-adrenergic receptor (PDB entry 2RH1) appears in red, with two separately rigid regions in green599and light blue ribbons (based on data from Figure 2). The H2.2, ECL1, H3.1, and H5.1 segments600colocalize around the ligand site, which in this case hosts the blood pressure-reducing beta-blocker,601carazolol. The extracellular side of the GPCR is at the top. Trends in flexibility/rigidity of these602four regions between active and inactive structures across all 27 GPCRs are annotated.

Feature	H3.1f	H6.1f	ECL11	H5.11	H2.1s	H2.2s
H3.1f	1	-0.169	-0.293	-0.438	0.094	0.184
H6.1f	-0.169	(i);	0.187	0.227	-0.025	-0.199
ECL11	-0.293	0.187	.10	0.007	-0.262	
H5.11	-0.438	0.227	0.007	1	-0.204	
H2.1s	0.094	-0.025	-0.262	-0.204	1	0.78
H2.2s	0.184	-0.199	-0.346	-0.338	0.78	1

616Figure 8. Pairwise Mathews correlation coefficient values [27] between the six features resulting in617the highest-accuracy GPCR activity prediction. Absolute values near 1 reflect high correlation (e.g.,618feature 1 always present when feature 2 is present), values near 0 reflect a random relationship619between the features, and values near -1 reflect anticorrelation (e.g., feature 1 present when feature6202 is absent). The coloring emphasizes high correlation values in red. Decreasing correlation values621are highlighted in aqua (0.3-0.5), blue (0.2-0.3), dark blue (0.1-0.2), and white (~0).

#### 622 3.4. Comparison with a Crystallographic Measure of Flexibility for Active and Inactive GPCRs

623 We then evaluated whether crystallographic thermal mobility (B-value) data present in PDB 624 files could provide an alternative way of identifying regions that differ significantly in flexibility 625 between active and inactive GPCRs. Figure 9 shows the B-value traces for a representative sample 626 of three active and three inactive GPCRs; including traces for more structures made it difficult to 627 visualize trends. In the inactive structures, two of the three (2VT4 and 3ODU) have similar, almost 628 overlapping B-value traces, whereas 2RH1 shows relatively low variation in B-values from 629 N-terminus to C-terminus. The pattern observed from the two similar inactive traces is that the 630 loops ICL1, ICL2, ECL2, ICL3, and the N- and C-termini of the proteins are more flexible than the 631 helical regions while ECL1 is more rigid (consistent with ProFlex analysis). This is true of many 632 protein structures, both membrane and soluble.



633Figure 9. Main-chain crystallographic B-values plotted for three active (dashed line) and three634inactive (solid line) class A GPCR structures. Helix, loop, and switch regions are indicated along635the top of the plot, with the ionic lock residues marked by blue diamonds, the transmission switch636residues marked by blue pentagons, and the tyrosine toggle region indicated by a blue circle. The637structures were aligned by Dali prior to B-value comparison and indexed sequentially from the638N-terminus, to avoid misalignment of structural features due to inconsistent residue numbering639between GPCR structures.

640 When the active structures were analyzed, the three B-value traces (5C1M, 5GLH, and 3QAK) 641 had very different B-value scales. If this difference in B-value baseline was ignored and the 642 regions of variation within each protein were considered and compared, ICL1, ICL2, ECL3, the N-643 and C-termini, and regions roughly corresponding to ECL1 and ICL3 were found to be more 644 flexible than the rest of the structure in at least 2 of the 3 active proteins. ECL2 was missing 645 (undefined coordinates) for all three structures, likely indicative of very high mobility, and thus is a 646 potentially useful signature of the active state. However, the loop and N- and C-terminal 647 high-mobility features were all in common between active and inactive structures, aside from the 648 relatively low B-values observed for ECL1 in inactive states compared with a high B-value, flexible 649 state within or preceding this loop in active structures. Overall, it would be more difficult to 650 predict activity-associated regions from B-values because of their inconsistency in baseline 651 magnitudes, and the limited variation observed within some of the proteins. This is likely due to

652 the crystals being held at different temperatures during data collection, and the GPCRs packing 653 differently in their crystal lattices (some more constrained than others). Also, different methods 654 were used for refining the structures, which can strongly affect B-values. Another consideration is 655 that B-values measure mobility of atoms around their average coordinates. Thus, an internally 656 rigid helix with a hinge at one end, which is able to freely swing like a lever arm, can show large 657 B-values at the swinging end of the helix and much smaller B-values near the hinge. In contrast, 658 ProFlex measures flexibility based on internal rotational degrees of freedom, rather than the 659 Cartesian representation of mobility used for B-values. ProFlex would therefore label the hinged, 660 swinging helix to be separately rigid rather than flexible. Another difference between B-values and 661 ProFlex evaluation of flexibility is that information on coordinated motion within flexible or rigid 662 regions is an automatic feature of ProFlex analysis, whereas inferring coupling information from 663 B-value data is computationally and memory-intensive, requiring principal component or essential 664 dynamics analysis.

665 3.5. Using the BRAT and BAT Alignment Visualization Tools to Identify Corresponding Sites and 666 Quantitative Features from Structural Alignments of Sequence-diverse Homologs

667 A challenge for working with a diverse set of homologs, such as the hundreds of GPCRs 668 present in humans, is to define corresponding regions such as ligand binding sites or flexibility 669 motifs when the sequences are too divergent to align confidently. For this purpose, we developed 670 two Python alignment visualization utilities (https://github.com/psa-lab/Protein-Alignment-Tool). 671 The first is BRAT, which starts with a Dali pairwise structural alignment and then highlights 672 regions of user interest, such as ligand binding residues, which can be defined by the user as 673 residue ranges in a reference structure (e.g., 2RH1), or as the set of residues within a user-defined 674 distance X (in Å) of a ligand atom (as computed by BRAT). The output is a BRAT-formatted 675 pairwise sequence alignment in either comma-separated value (CSV) or hypertext markup 676 language (HTML) web-viewable format, which the user can further edit/annotate and incorporate 677 in publications and presentations. An example of BRAT output (Figure 10) shows the signature of 678 6 flexibility features that enable prediction of whether a GPCR is inactive or active.

BAT output (Figure 11) is similar to that from BRAT, while allowing multiple proteins to be
visualized along with numeric values written by the PDB, or software such as ProFlex, in the
B-value column of a PDB file.

#### 682 4. Conclusions

This work on a set of 27 class A GPCRs presents several advances in the field of protein activity prediction that can enhance our understanding of how ligand binding affects activity:

By providing a software approach not previously used to assess protein activity, ProFlex, that
 predicts rigid and flexible regions and their coupling within a single protein structure. This
 makes it unnecessary to compare protein structures, which may have a different underlying
 mechanism of activation. Also, it is unnecessary to provide user-defined hypotheses
 regarding regions important for (in)activation. Such hypotheses can bias towards prior
 knowledge, and limit the understanding of regions involved in activity.

691

692	Alignment for 2rh1 chain A and 5xsz chain A	
693	2rh1 = ./2rh1.pdb 5xsz = ./5xsz.pdb	
694	H2.1 2rh1 29 DEVWVVGMGIVMSLIVLAIVFGNVLVITAIAKFERLOT <b>VTNYFITSLACA</b> 78	
695	Stsz         31        SMVFSIVFMVGLITNVAAMYIFMCSLKLRNETTYMMNLVVS         72	
696	H2.2 ECL1 H3.1 2rh1 79 DLVMGLAVVPFGAAHILMKMWTFGNFWCEFWTSIDVLCVTASIETLCVIA 128	J
697	5xsz 73 <b>DLLFVLT-</b> LPLRVFYFVQ <b>QNWPFGSLLCKLSVSLFY</b> TNMYGSILFLTCIS 121	
698	2rh1 129 VDRYFAI-TSPFKYQSLLTKNKARVIILMVWIVSGLTSFLPIQMH 172	
	5xsz 122 VDRFLAIVYPFRSRGLRTKRNAKIVCAAVWVLVLSGSLPTGFMLNST 168 H5.1	
699	2rh1 173 WYRATHQEAINCYAEETCCDFFTNQAYAIASSIVSFYVPLVI 214	
700	5xsz 169NKLENNSISCFEWKSHLSKVVIFIETVGFLIPLML 209 H6.1	
701	2rh1 215 MVFVYSRVFQEAKRQLKFCLKEHKALKTLGIIMGTFTLCWLPFFIVNI 294 5xsz 210 NVVCSAMVLQTLRRPNTVLNKKKILRMIIVHLFIFCFCFIPYNVNLV 261	
702		
	2rh1 295 VHVIQDNLIRKEVYILLNWIGYVNSGFNPLIYCRSPDFRI 334 5xsz 262 FYSLVRTNTLKGCAAESVVRT-IYPIALCIAVSNCCFDPIVYYF 304	
703		2
704		

BRAT v1.0.1

705 Figure 10. BRAT alignment (HTML view) of the sequences from PDB entries 2RH1 (human 706 β2-adrenergic receptor) and sequence-divergent 5XSZ (zebrafish lysophosphatidic acid receptor 6; 707 21% identical to human  $\beta$ 2-adrenergic receptor), which highlights in boldface the residues in 2RH1 708 and 5XSZ corresponding to the key features discussed in section 3.2. Annotations of those regions 709 appear as H2.1, etc., above the sequences.

710

PDB entry	Structurally Aligned Residue	s									
	Chain ID, Residue Number	C39	C40	D41	D42	D43	D44	D45	D46	D47	
2vt4	Residue Name	GLN	TRP	GLU	ALA	GLY	MET	SER	LEU	LEU	
	Flexibility Index	87.52	65.84	70.48	55.27	56.21	45.47	40.01	35.27	41.46	
	Chain ID, Residue Number	-	A32	A33	A34	A35	A36	A37	A38	A39	
2rh1	Residue Name	-	TRP	VAL	VAL	GLY	MET	GLY	ILE	VAL	
	Flexibility Index	-	94.6	91.1	87.62	86.08	84.86	82.58	80.88	79.67	
	Chain ID, Residue Number	-	-	-	-	-	-	-	B43	B44	
3odu	Residue Name	-	-	-	-	-	-	-	THR	ILE	
	Flexibility Index	-	-	-	-	-	-	-	27.28	25.37	
	Chain ID, Residue Number	-	-	-	A7	A8	A9	A10	A11	A12	•••
3qak	Residue Name	-	-	-	SER	VAL	TYR	ILE	THR	VAL	
	Flexibility Index	-	-	-	69.69	67.31	61.19	59.49	60.78	58.29	
	Chain ID, Residue Number	-	-	-	-	-	-	B72	B73	B74	
5c1m	Residue Name	-	-	-	-	-	-	ARG	ASP	VAL	
	Flexibility Index	-	-	-	-	-	-	34.11	42.58	40.51	
	Chain ID, Residue Number	-	-	-	A102	A103	A104	A105	A106	A107	
5glh	Residue Name	-	-	-	TYR	ILE	ASN	THR	VAL	VAL	
	Flexibility Index	-	-	-	3.03	92.12	99.68	98.95	1.22	94.85	

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Figure 11. BAT output showing the alignment of 5 GPCR structures from the PDB (2RH1, 3ODU, 3QAK, 5C1M, and 5GLH) from their Dali structural alignment with 2VT4 (β1-adrenergic receptor). Values from the B-value column, in this case containing the flexibility index value written by

713 714 ProFlex (where 0 is most rigid and larger is more flexible) are also aligned.

- Additional utilities developed here in Python, BAT and BRAT, facilitate visualizing structurally-equivalent residues in key protein regions of interest, such as binding sites or switch regions, for proteins that are sufficiently divergent that the corresponding residues cannot be defined with high confidence from sequence alignment.
- Though ProFlex can analyze a ligand-bound protein structure as input, in our machine learning approach, no data about the ligand or its contacts are used. Instead, ProFlex pinpoints rigid regions created by constraints within the protein's covalent, hydrogen bond, and hydrophobic contact network, as well as separate internally rigid regions that can move relative to the protein scaffold region, followed by flexible regions.
- The flexibility and rigidity pattern within a protein structure defined by ProFlex can be used to
   create a set of features segments of the protein labeled by their flexible, independently rigid,
   or mutually rigid state within the structure that machine learning techniques such as feature
   selection and a classifier can use to focus down to the most discerning subset of features for
   predicting activity.
- The resulting KNN classifier of active or inactive state can drive experimental protein and ligand design, by pinpointing specific flexibility features that are more prevalent in active versus inactive structures of the protein. The KNN classifier is also intuitive, since it uses the focused feature set to identify proteins of known activity or inactivity with the most similar features to the user's protein. This approach also can help group proteins according to similar flexibility motifs underlying (in)activation.
- 735 The GPCR activity classifier using the identified six flexibility features has high accuracy: 96% 736 correct prediction in leave-one-out cross-validation across the set of 18 inactive and 9 active 737 GPCR structures, and 82% correct prediction when measured on held-out test sets across 10,000 738 iterations of bootstrap sampling. The most-predictive features colocalize around the ligand 739 binding site proximal to the extracellular surface of the membrane protein, and thus add 740 information to the switch regions characterized by others (such as ionic lock and tyrosine 741 toggle), which are close to the intracellular interface with signaling partners. One of the six 742 flexibility features, the third of helix 5 proximal to the extracellular interface, is adjacent to but 743 non-overlapping with the transmission switch previously defined. Thus, the ProFlex-defined 744 activation motif provides a direct connection between flexibility changes in the protein induced 745 by ligand binding to those previously characterized in the transmission switch involving 746 movements of helices 5 and 6 during activation.
- 747 ٠ This approach can help clarify how ligand binding generates an active state in the protein. For 748 instance, one could first use the KNN step in this protocol to identify which GPCRs of known 749 active/inactive state have the most similar flexibility state across the six key regions, relative to 750 the user's GPCR in complex with a designed or other test ligand. Then the protein-protein and 751 protein-ligand contacts in the six key flexibility transition regions can be compared between the 752 user's complex and the most similar GPCR complexes. This analysis can suggest ligand 753 functional group changes (making or breaking specific protein contacts) to enhance the ability 754 to inactivate (or activate) the GPCR.
- 755 This intuitive feature-based classification of activity through machine learning is equally 756 applicable to other protein families and other kinds of data. For instance, instead of ProFlex 757 flexibility, one could test whether a subset of features defined as the presence/absence of 758 specific residue-residue contacts (such as intraprotein hydrogen bonds, salt bridges, aromatic 759 interactions, and/or ligand contacts) predict an active or inactive state. Because the feature 760 selection and classifier can test many more combinations than a person could readily perform 761 by synthesis/mutagenesis, and without bias, new information may result that usefully narrows 762 the spectrum of experiments by homing in on key features of activation.

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